Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **31-JUL-19** 

of Mk. Habib Metropolitan Financial Services Lt

Submission Date 16-AUG-2019 21:25:58

Result         Result         Value           1         Property & Equipment         1, 201.011         1, 201.011           1.3         Interpretendent Rock Sequities         91.064         91.064         91.064           1.4         Interpretendent Rock Sequities         91.064         91.064         91.064         91.064           1.5         Interpretendent Rock Sequities         91.064         91.064         91.064         91.064           1.6         Restriction Rock Sequities         91.064         91.064         91.064         91.064           1.6         Restriction Rock Sequities Inthe case of forume upot Lyne.		Submission Date 10-A0G-2019 21.23.36		Page	1 Of 3
11     Property & Ecupiment     1.30/191     1.39/191       12     Interplate activ     99.488.656     99.488.656       13     Interplate activ     99.488.656       14     Investment in Cost: Securities     99.488.656       15     Interplate activ     99.488.656       16     Interplate activity     99.488.656       17     Interplate activity     99.488.656       18     Interplate activity     99.488.656       19     Interplate activity     99.488.656       10     Interplate activity     99.488.656       11     Interplate activity     99.488.656       11     Interplate activity     99.488.656       11     Interplate activity     99.488.656       11     Interplate activity     99.488.656       12     Interplate activity     99.488.656       13     Interplate activity     99.488.656       14     Interplate activity     99.488.656       15     Interplate activity     99.488.656       16     Interplate activity     99.488.656       17     Interplate activity     99.488.656       18     Interplate activity     99.488.656       18     Interplate activity     99.488.656       19     Interplate activity     <	S.No.	Head of Account			
Theorem         Producting at all printering         Producting at all printering         Producting at all printering           13         Investment in Cool. Securities         90.488.056         90.488.05	1	Assets			
13     Intraviplia Acads     91.064     91.064       13     Intraviplian Good Securities     90.488.055     09.488.055     09.488.055       14     Intraviplian Good Securities     90.488.055     09.488.055     09.488.055       15     Intraviplian Good Securities     90.488.055     09.488.055     09.488.055       16     10.05 of the balance bate value. In the case of ferrure of non-terms     10.05     10.05     10.05       16     10.05     10.05     10.05     10.05     10.05     10.05       16     10.05     10.05     10.05     10.05     10.05     10.05       17     Interstein In Goud Securities Interaction In	1.1	Property & Equipment	1,301,911	1,301,911	
14       Investment in Deck. Securities	1.2	Intangible Assets			
It is of the abain is the case of terue up to 1 year         1.5% of the balance sheet value in the case of foruse forum 1-3 year.         1.7% of the balance sheet value in the case of foruse forum 1-3 year.         1.7% of the balance sheet value in the case of foruse forum 1-3 year.         1.7% of the balance sheet value. In the case of foruse forum 1-3 year.         1.7% of the balance sheet value. In the case of foruse forum 1-3 years.         1.7% of the balance sheet value. In the case of foruse forum 1-3 years.         1.7% of the balance sheet value. In the case of foruse forum 1-3 years.         1.7% of the balance sheet value. In the case of foruse forum 1-3 years.         1.7% of the balance sheet value. In the case of foruse forum 1-3 years.         1.7% of the balance sheet value. In the case of foruse forum 1-3 years.         1.7% of the balance sheet value. In the case of foruse forum 1-3 years.         1.7% of the balance sheet value. In the case of foruse forum 1-3 years.         1.7% of the balance sheet value. In the case of foruse forum 1-3 years.         1.7% of the balance sheet value.         1.7% of the balance sheet value in the case of foruse forus value.         1.7% of the balance sheet value in the case of foruse forus value.         1.7% of the balance sheet value in the case of foruse forus value value value.         1.7% of the balance sheet value in the case of the value in the case of investment investment in value value. <t< td=""><td></td><td></td><td>99,488,655</td><td>99,488,655</td><td>99,488,655</td></t<>			99,488,655	99,488,655	99,488,655
1.5% of the balance sheet value in the case of tenue upp 1 year.					
I. 275 of the balance about value, in the case of ferme from 1-3 years.         In 1.256 of the balance about value, in the case of ferme from 3 years.           II. 10.00 of the balance about value, in the case of ferme from 3 years.         In 1.256 of the balance about value, in the case of ferme from 3 years.           II. 10.00 of the balance about value, in the case of ferme from 3 years.         In 1.256 of the balance about value, in the case of ferme of more than 3 years.           II. 10.00 of the balance about value, in the case of ferme of more than 3 years.         In 1.256 of the balance about value, in the case of ferme of more than 3 years.           II. 10.00 of the balance about value, in the case of ferme of more than 3 years.         In 1.256 of the balance about value, in the case of ferme of more than 3 years.           II. 10.00 of the balance about value, in the case of ferme of more than 3 years.         In 1.256 of the balance about value of more than 3 years.           II. 10.00 of the balance about value of a new of the the intervalue value va					
If function balance about value in the case of tenue upto 1 year.       125% of the balance about value in the case of tenue about 5 year.         15       If Six of the balance about value. In the case of tenue about t		ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.10% of the balance show value, in the case of remove of more than 3 years.					
II. 125% of the balance sheet value, in the case of ferrors from 1-3 yeas.         II. 125% of the balance sheet value, in the case of ferrors from some than 3 yeas.           IV         Investment in Equity Securities         II. 1000         III. 1000         IIII. 1000         IIII. 1000         IIII. 1000         IIII. 1000         IIII. 1000         IIII. 1000         IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII					
15       Investment in Equity Securities       6,282,414       1,006,786         16       Effective socurities whichever is higher.       6,282,414       1,006,786       5,285,628         16       Effective socurities whichever is higher.       1,006,786       5,285,628         17       Effective socurities whichever is higher.       1,006,786       5,285,628         18       Effective socurities whichever is higher.       1,006,786       5,285,628         17       Effective socurities whichever is higher.       1,008,786       5,285,628         18       Effective socurities whichever is higher.       1,008,786       5,285,628         19       Effective socurities whichever is higher.       1,008,786       1,008,786         19       Effective socurities whichever is higher.       1,008,786       1,008,786         10       Effective socurities and the socurities socurities which are socurities socurities which are socurities which are socurities which are socurities which are socurities and are socurities which are socurit		ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
If Histed 19% or ViR of each securities on the cubor fade as computed by the Securities Exchange for expective securities which here is higher     If cubitsed, 10% of carying value.     If subscription more year not been raibuled on an onlinubated in the instantment of securities bodies     provided that have have not been raibuled on an onlinubated in the instantment of securities bodies     provided that have have not been raibuled on an onlinubated in the instantment of securities bodies     provided that have have not been raibuled on an onlinubated in the instantment of securities bodies     provided that have have not been raibuled on an onlinubated in the instantment of securities bodies     provided that the block, freece of Prolego status as on reporting data (UV) 19, 201     Provided that 10% harcus shall not be applied in case of investment in those securities which are     Predged in favor of Sites, Echange (Classifie flaues against Magni Financing equiprements to pleading in     the raibule of the securities as computed by the Societies Exchange for respective securities     whichever is higher.     If investment in associated companies/undertaking     If instant, 10% of ret value.     If a deposition or mark-up on amounts placed with financial institutions or debt securities     whichever is higher.     If a deposition or mark-up on amounts placed with financial institutions or debt securities     If account patient based care of the exchange (Singer Against					
i if unities         i if united, 00% of cays with ment in PROMPERTOR Sale: Amount paid as subscription money         iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii	1.5		6 202 414	1 006 786	5 295 629
III Subscription money against investment in PCODFF for Sale. Amount paid as subscription money models that shares have not been allocide are not including shares of Tisted securities straker.         13.039,200           W100% Haircut shall be applied to Value of Investment in any asset including shares of Tisted securities shall be applied to Value of Program and the Automation of Tisted securities in the Automation of Program and State State (July 19, 2017)         13.039,200         13.039,200           Internet in Subclification of State Schamper Capability of Capability of State Schamper Capability State Schamper Capability of State Schamper Capability S			0,292,414	1,000,700	5,205,020
poolded that stars have not been alloted or are not included in the investments of socurities broker.         13.038.200           that are in Block, Freeze or Pledge status as on responsing date. (July 97.2017)         13.038.200         13.038.200           Provided that 100s hatcor shall not be applied to Case of Investment in Investment in those socurities which are index significant and the set special sprovided in character status and the special sprovided in the vort of Bank significant site special companies/undertaking         13.038.200           1.6         Investment in associated companies/undertaking         14.100.000         14.100.000           1.7         Investment in associated companies/undertaking         14.100.000         14.100.000           1.7         Investment in associated companies/undertaking         110.0500.000         0         110.0500.000           1.8         Undertaking house or central depository         14.100.000         14.100.000         14.100.000           1.9         Margin depositive and tokening house.         110.0500.000         0         110.0500.000         110.0500.000           1.10         Depositive hindline mediary against borrowed social institutions or debt socialities under stB.         550.088         550.088         550.088           1.11         Accura dender to eak-up on amounts placed with financial institutions or debt socialities and or repayment shall not be included in the instinstrain social social socialities and or repayment shall not be in					
In 100% Haircut shall be applied to Value of Investment in any asset including shares of Isited securities         13,038,200         13,038,200           Provided that 100% haircut shall not be applied in case of Investment in those securities which are         13,038,200         13,038,200           In the investment in subcline (Free of Pedge status as on reporting data) requirements or pledged status investment in securities shall be applicable (August 22, 2017)         Investment in subcline (Free of August 22, 2017)           10         Investment in subcline(Iso cash securities is active applicable (August 22, 2017)         Investment in subcline(Iso cash securities active applicable (August 22, 2017)           11         Investment in subcline(Iso cash securities iso applicable (August 22, 2017)         Investment in subcline(Iso cash securities active applicable (August 22, 2017)           12         Investment in subcline(Iso cash securities active applicable (August 22, 2017)         Investment in subcline(Iso cash securities active applicable (August 22, 2017)           13         Investment in subcline(Iso cash securities active applicable (August 22, 2017)         Investment in subcline(Iso cash securities active applicable (August 22, 2017)           14         Investment in subcline(Iso cash securities active applicable (August 22, 2017)         Investment in subcline(Iso cash securities and 10, 2000)           13         Davident in the applicable (August 22, 2017)         Investment in subcline(Iso cash securities and 10, 2000)           14         Davident in the applicable (August					
Provided that 100% halacut shall include applied in case of Investment In those securities which are plended in favor of Back schange (Chaing House against Margin Financing requirements or plendged in favor of Back sagainst Shot I term financing amangements. In such cases, the halacut as provided in schedule II of the Required Ion instrument in securities shall be applicable (August 25, 2017)           1.6         Investment in associated companies/undertaking         Investment in associated companies/undertaking           1.7         Investment in associated companies/undertaking         Investment in associated companies/undertaking           1.8         Statutory or seguitation in respective securities and companies/undertaking         Investment in associated companies/undertaking           1.9         Investment in associated companies/undertaking         Investment in associated companies/undertaking           1.8         Statutory or seguitation/depositabasic deposits with the exchanges, clearing house or central depository         14,100,000         14,100,000           1.9         Margin deposity with exchange and clearing house.         100.500,000         0         110,500,000           1.10         Doted enterst, portion remark up on amounts placed with financial institutions or debt securities         1,946,600         0         1,946,600           1.12         Accound Interest, portion and up on amounts placed with financial institutions or debt securities         1,946,600         0         1,946,600         1,946,600         1,946,600		iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities	13,039,200	13,039,200	
Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged         Intersection of Banka against Shot Item financing areangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities that be applicable (August 25, 2017)           1.1         Investment in associated companies/undertaking         Investment in associated companies/undertaking           1.1         Investment in associated companies/undertaking         Investment in associated companies/undertaking           1.1         Investment in associated companies/undertaking         Investment in associated companies/undertaking           1.1         Investment in associated companies/undertaking         Investment in associated companies/undertaking           1.1         Undertaking in the exchanges, clearing house or central depository         14,100,000           1.3         Statuty or regulatory deposits/basic deposits with the exchanges, clearing house or central depository         14,100,000           1.1         Other deposits and negretion marking accused on loans to directors. subsidiaries and other selated parties         10,000           1.10         Deposit with authorized intermediary against borwed securities in all marking in the applicability of securities purchased under repo areangement deposits and provide against Repo financing.         11,000           1.10         Devidends recervables against Repo financing.         11,000         11,000         11,000           1.1.1<					
In Taivor of Banks against Short term financing arrangements. In such cases, the halicut as provided in schedule (II of the Requisitions in respectives shall be applicable (August 25, 2017)     Investment in associated companies/undertaking     If Ilisted 20% or VaR of each soculities as computed by the Securities Exchange for respective securities     whichever is highen     If United. 100% of retailors     If united. 100% of retailors     If United. 200% of retailors     If II United. 200% of retailors     If United		Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
1.6       Investment in associated companies/undertaking		in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
1.7       Investment in associated companies/undertaking       In the days of value of each securities acomputed by the Securities Exchange for respective securities         1.8       Statutory or regulatory depositswith ceposits with the exchanges, clearing house or central depository       14,100,000         0       any other entity,       110,500,000       0         1.9       Margin deposits with exchange and clearing house.       110,500,000       0         1.10       Deposits with exchange and clearing house.       1050,000       0       110,500,000         1.11       Other deposits and prepayments       6550,088       650,088       650,088         1.12       Accured interest, profit or markup accured on loans to directors, subsidiaries and other related parties       1,946,609       0       1,946,609         1.13       Dividends receivable       apainst Repo financing.       4       4       4         1.14       Amount paid as puchaser under the RPO agreement. (Securities puchased under repo arrangement shift to be included in the investments).       5       5       5         1.14       Amount paid as puchaser under the RPO agreement. (Securities in all markets including of securities in all shift agree data shift adding of securities in all shift agree data shift adding of securities in all shift agree data shift adding of securities in all shift agree data shift adding of securities in all shift agree data shift adding of securities in all shift agree data shift					
If Histed 20% or VaR of each securities as computed by the Securities Exchange for respective securities					
ii.if unlisted. 100% of net value.					
18       Statutory or regulatory depositivation depositivation for regulatory of the relitivation of the relitivation of the relitivation of the relitivation of the related particles and other related particles and other related particles and other related particles and the relativation of the relativativation of the relativativativativativativativativativativ					
or any other entity.       Intermediary against borowed socurities under SLB.       110.500.000       0       110.500.000         1110       Object with authorized intermediary against borowed socurities under SLB.       100.500.000       0       110.500.000         1111       Other deposits and prepayments       650.088       650.088       650.088         1122       Accrued interest, porfit or mark- up on amounts placed with financial institutions or debt securities       1,946.609       0       1,946.609         1132       Didendist receivables       100.500.000       100.500.000       100.500.000         1133       Didendist receivables       100.500.000       1,946.609       1,946.609       1,946.609         1133       Didendist receivables       20.200.318       27.080.318       27.080.318       27.080.318         1143       Amounts receivables against trading of securities exchange(s)       27.080.318       27.080.318       27.080.318         115       Is Nort Term Loan Fibres on accound of entitlements against trading of securities in all markets including MtM gains.       810.139       810.139         1104       Receivables from customers       100.500.000       100.500.000       100.500.000         1105       Is for as ecvelvables are against trading of securities in all markets including MtM gains.       100.139       810.139 </td <td></td> <td></td> <td>14,100,000</td> <td>14,100,000</td> <td></td>			14,100,000	14,100,000	
1.10       Deposit with authorized intermediary against borowed securities under SLB.       650,088         1.11       Other deposits and prepayments       650,088         1.12       Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities       1,946,609       0         1.13       Dividends necelvables		or any other entity.			
1.11       Other deposits and prepayments       650.088       650.088         1.12       Accound Interest, porfit or mark-up on amounts placed with financial institutions or debt securities       1,946,609       0       1,946,609         1.12       Accound Interest, porfit or mark-up on amounts placed with financial institutions or debt securities       1,946,609       0       1,946,609         1.13       Dividends inceivables.			110,500,000	0	110,500,000
etc. (Nii)         100% in respect of markup accrued on loans to directors, subsidiaries and other related parties         111           1114         Dividends receivables         111           113         Dividends receivable against Repo financing, Amounts receivable against Repo financing, ishall not be included in the investments)         111           115         I. Short Term Loan To Employees: Lears are Secured and Due for repayment within 12 months         111           116         Receivables other than trade receivables         27,080,318         27,080,318           117         Receivables from Clearing house or securities exchange(s)         111         111         111           118         Receivables from clearing house or securities in all markets including MtM gains.         111         112         113           119         Receivables from customers against trading of securities held in the blocked account after applying VAR based Haircut.         110         110         110         110         1111         111	1.11	Other deposits and prepayments	650,088	650,088	
100% in respect of markup accued on loans to directors, subsidiaries and other related parties	1.12		1,946,609	0	1,946,609
1.13       Dividends receivables.       Image: Construction of the construct of the con		100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)           1.15         I. Short Term Loan To Employees. Loans are Secured and Due for repayment within 12 months         27,080,318         27,080,318           1.16         Receivables other than trade receivables         27,080,318         27,080,318         27,080,318           1.16         Receivables from Clearing house or securities exchange(s)              1.10         Now value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.         810,139         810,139           1.17         Receivables from Customers               1.18         In case receivables are against margin financing, the agregate if (i) value of securities held in the blocked account after applying VAR based Haircut. (ii) cash deposited as collateral of the net balance es (iii) market value of any securities deposited as collateral after applying VAR based haircut.             1. Lower of net balance sheet value or value determined through adjustments.               ii. I. Incase receivables are against margin financing. % of the net balance sheet value.                i. I. Cover of net balance sheet value or anut after deducting haircut	1.13	Dividends receivables.			
1.15       i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months       27,080,318         1.16       ii. Receivables other than trade receivables       27,080,318       27,080,318         1.16       Receivables from Clearing house or securities exchange(s)       810,139       810,139         ii. 10% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.       810,139       810,139         1.17       Receivables from customers       1       1       Receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haiccut.       1       1       1       1       Receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based haiccut.       1       1       1       1       Receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based haiccut.       1       1       1       1       Receivables are against margin financing, the aggregate and through adjustments.       1 <td></td> <td>Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement</td> <td></td> <td></td> <td></td>		Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
1.16       Receivables from clearing house or securities exchange(s)       1         i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.       810,139         1.17       Receivables from Customers       1         i. n case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.       1         i. I. cover of net balance sheet value or value determined through adjustments.       1       1         ii. Incase receivables are against excurities balance sheet value.       1       1         ii. Incase receivables are against excurities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Incase receivables not more than 5 days overdue, 0% of the net balance sheet value.       30,294,115       0       30,294,115         v. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       30,062,851       3,062,851       3,062,851         v. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       30,0294,115       0       30,294,115       3,062,851         v. Incase of other trade receivables not more than 5 days overdue, and ther applying VAR based haircut, (ii) cash deposited as collateral by the respective customer and (iii) the market value	1.15				
1.16       Receivables from clearing house or securities exchange(s)       1         i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.       810,139         1.17       Receivables from Customers       1         i. n case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.       1         i. I. cover of net balance sheet value or value determined through adjustments.       1       1         ii. Incase receivables are against excurities balance sheet value.       1       1         ii. Incase receivables are against excurities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Incase receivables not more than 5 days overdue, 0% of the net balance sheet value.       30,294,115       0       30,294,115         v. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       30,062,851       3,062,851       3,062,851         v. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       30,0294,115       0       30,294,115       3,062,851         v. Incase of other trade receivables not more than 5 days overdue, and ther applying VAR based haircut, (ii) cash deposited as collateral by the respective customer and (iii) the market value		II. Descrively a start term trade reactively a	27 000 240	07 000 040	
i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.       810,139         ii. Receivables on entitlements against trading of securities in all markets including MtM gains.       ii. Receivables from customers         ii. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.       ii. Incase receivables are against margin trading, 5% of the net balance sheet value.         ii. Incase receivables are against margin trading, 5% of the net balance sheet value.       iii. Netword financing, the aggregate if (i) value of NCCPL as collateral by the financee (iii) market value of any securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut       iii. Net amount after deducting haircut         iii. Net amount after deceivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities puchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities puchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities puchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities puchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) c			27,080,318	27,060,318	
ii. Receivable on entitlements against trading of securities in all markets including MtM gains.       Image: Comparison of the securities of the securities in all markets including MtM gains.         1.17       Receivables from customers       Image: Comparison of the securities of the securities held in the blocked account after applying VAR based Haircut. (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.       Image: Comparison of the securities deposited as collateral after applying VAR based haircut.         i. Lower of net balance sheet value or value determined through adjustments.       Image: Comparison of the securities borowings under SLB, the amount paid to NCCPL as collateral upon entering into contract.         iii. Incase receivables are against securities borowings under SLB, the amount paid to NCCPL as collateral upon entering into contract.       Image: Comparison of the trade receivables not more than 5 days overdue, 0% of the net balance sheet value.         iv. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market as, 121,650 3,062,851 3,062,851 value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts.       30,294,115 3,062,851 3,062			810,139	810,139	
1.17       Receivables from customers       0         I. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.       0         I. Lower of net balance sheet value or value determined through adjustments.       0       0         ii. Incase receivables are against margin trading, 5% of the net balance sheet value.       0       0         iii. Net amount after deducting haircut       0       0       30,294,115         iii. Net amount after deducting haircut       0       30,294,115       0       30,294,115         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       8,121,650       3,062,851       3,062,851         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       8,121,650       3,062,851       3,062,851         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, vi. 100% haircut in the case of amount receivable form related parties.       0       34,855,077       0       34,855,077 <td></td> <td></td> <td></td> <td></td> <td></td>					
i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.       i. Lower of net balance sheet value or value determined through adjustments.         ii. Incase receivables are against margin trading, 5% of the net balance sheet value.       ii. Incase receivables are against margin trading, 5% of the net balance sheet value.         iii. Incase receivables are against margin trading, 5% of the net balance sheet value.       iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,         iii. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       30,294,115         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral by the respective customer and (iii) the market value of securities held as collateral by the respective customer and (iii) the market value of securities held as collateral by the respective customer and (iii) the market value of securities held as collateral by the sepacetive customer and (iii) the market value of securities held as collateral by the sepacetive customer and (iii) the market value of securities held as collateral by the sepacetive customer and (iii) the market value of securities held as collateral by the sepacetive customer and (iii) the market value of securities held as collat					
blocked account after applying VAR based Hairout, (ii) cash deposited as collateral by the financee (iii)       market value of any securities deposited as collateral after applying VaR based hairout.         i. Lower of net balance sheet value or value or value of through adjustments.       ii. Incase receivables are against margin trading, 5% of the net balance sheet value.         ii. Incase receivables are against margin trading, 5% of the net balance sheet value.       ii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,         iii. Net amount after deducting haircut       iii. Net amount after deducting haircut         iv. Balance sheet value       30,294,115         v. Incase of other trade receivables are overdue, or 5 days overdue, 0% of the net balance sheet value.       30,294,115         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       30,062,851         vi. 100% haircut in the case of amount receivable form related parties.       iii. Bank Balance-s       iiii. Bank Balance-s         ii. Bank Balance-scounts       34,855,077       0       34,855,077         ii. Bank Balance-scounts       42,534,265       0       42,534,265					
i. Lower of net balance sheet value or value determined through adjustments.       ii. Incase receivables are against margin trading, 5% of the net balance sheet value.         ii. Net amount after deducting haircut       iii. Incase receivables are against securities borowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haircut         iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       30,294,115       0         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       8,121,650       3,062,851         value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts.       vi. 100% haircut in the case of amount receivable form related parties.       0         1.18       Cash and Bank balances       34,855,077       0       34,855,077         ii. Bank balance-customer accounts       42,534,265       0       42,534,265		blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
ii. Incase receivables are against margin trading, 5% of the net balance sheet value.       ii. Net amount after deducting haircut         iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haircut         iii. Net amount after deducting haircut       iii. Net amount after deducting haircut       30,294,115         iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       30,294,115       30,294,115         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       8,121,650       3,062,851         value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       30,294,115       3,062,851         v. 100% haircut in the case of amount receivable form related parties.            1.18       Cash and Bank balances            ii. Bank balance-proprietory accounts       34,855,077       0       34,855,077         ii. Bank balance-customer accounts       42,534,265       0       42,534,265					
iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haricut         iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       30,294,115       0         iv. Balance sheet value       30,294,115       0       30,294,115         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       30,062,851       3,062,851         v. 100% haircut in the case of amount receivable form related parties.       0       1.18       Cash and Bank balances         i. Bank Balance-proprietory accounts       34,855,077       0       34,855,077         ii. Bank balance-customer accounts       42,534,265       0       42,534,265					
collateral upon entering into contract,       iii. Net amount after deducting haricut         iii. Net amount after deducting haricut       iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       30,294,115         iv. Balance sheet value       30,294,115       0         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       8,121,650       3,062,851         value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       30,294,115       3,062,851         vi. 100% haircut in the case of amount receivable form related parties.					
iii. Net amount after deducting haricut       30,294,115         iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       30,294,115         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       8,121,650         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       8,121,650         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (ii) the market       8,121,650         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (iii) the market       8,121,650         value of securities purchased for customers and held in sub-accounts after applying VAR based       8,121,650         haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of       9         vi. 100% haircut in the case of amount receivable form related parties.					
iv. Balance sheet value       iv. Balance sheet value         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       8,121,650       3,062,851         value of securities purchased for customers and held in sub-accounts after applying VAR based       8,121,650       3,062,851         value of securities purchased for customers and held in sub-accounts after applying VAR based       8,121,650       3,062,851         value of securities purchased for customer and held in sub-accounts after applying VAR based       a       a         value of securities held as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       a       a         vi. 100% haircut in the case of amount receivable form related parties.       a       a       a         1.18       Cash and Bank balances       a       a       a         i. Bank Balance-proprietory accounts       34,855,077       0       34,855,077         ii. Bank balance-customer accounts       42,534,265       0       42,534,265		iii. Net amount after deducting haricut			
v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       3,062,851       3,062,851         vi. 100% haircut in the case of amount receivable form related parties.			30,294,115	0	30,294,115
haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts. <ul> <li>vi. 100% haircut in the case of amount receivable form related parties.</li> <li>1.18</li> <li>Cash and Bank balances</li> <li>i. Bank Balance-proprietory accounts</li> <li>i. Bank balance-customer accounts</li> <li>42,534,265</li> <li>42,534,265</li> </ul>		v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	8,121,650	3,062,851	3,062,851
securities held as collateral after applying VaR based haircuts.       Image: securities held as collateral after applying VaR based haircuts.         vi. 100% haircut in the case of amount receivable form related parties.       Image: securities held as collateral after applying VaR based haircuts.         1.18       Cash and Bank balances       Image: securities held as collateral after applying VaR based haircuts.         i. Bank Balance-proprietory accounts       34,855,077       0         ii. Bank balance-customer accounts       42,534,265       0					
vi. 100% haircut in the case of amount receivable form related parties.       Image: case of amount receivable form related parties.         1.18       Cash and Bank balances       Image: case of amount receivable form related parties.         i. Bank Balance-proprietory accounts       34,855,077       0       34,855,077         ii. Bank balance-customer accounts       42,534,265       0       42,534,265					
i. Bank Balance-proprietory accounts         34,855,077         0         34,855,077           ii. Bank balance-customer accounts         42,534,265         0         42,534,265		vi. 100% haircut in the case of amount receivable form related parties.			
ii. Bank balance-customer accounts 42,534,265 0 42,534,265	1.18		04 055 0==		04.077.077

Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **31-JUL-19** 

Of M/s. Habib Metropolitan Financial Services Lt

Submission Date 16-AUG-2019 21:25:58

	Submission Date 10-A0G-2017 21.25.30		Page	2 Of 3
S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
1.19	Total Assets	391,130,505	160,631,012	327,992,200
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house	13,499,391	0	13,499,391
	ii. Payable against leveraged market products iii. Payable to customers	49,933,134	0	49,933,134
		49,933,134	0	49,933,134
	i. Statutory and regulatory dues	2,380,195	0	2,380,195
	ii. Accruals and other payables	9,815,554	0	9,815,554
	iii. Short-term borrowings In Current parties of subardinated leave	773,241	0	773,241
	iv. Current portion of subordinated loans v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts			
	vili. Provision for taxation ix. Other liabilities as per accounting principles and included in the financial statements			
	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease			
	b. Other long-term financing ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital. iv. Other liabilities as per accounting principles and included in the financial statements			
	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid			
	after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of Ioan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP			
	Total Liabilites	76,401,515	0	76,401,515
	Ranking Liabilities Relating to :			
	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.			
	Concentration in securites lending and borrowing			
	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(Ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	borrowed			
	Net underwriting Commitments			
	(a) in the case of right issuse : if the market value of securites is less than or equal to the subscription			
	price; the aggregate of:			
	<ul> <li>(i) the 50% of Haircut multiplied by the underwriting commitments and</li> <li>(ii) the value by which the underwriting commitments exceeds the market price of the securities.</li> </ul>			
	In the case of rights issues where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting			
3.4	(b) in any other case : 12.5% of the net underwriting commitments			
	Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
	exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total			
	assets denominated in foreign cuurency less total liabilities denominated in foreign currency Amount Payable under REPO			
	Repo adjustment			
L				

Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **31-JUL-19** 

of Mk. Habib Metropolitan Financial Services Lt

Submission Date 16-AUG-2019 21:25:58

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financeekeller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	314,728,990	160,631,012	251,590,685